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Rockefeller Foundation

1980-1983

83/115  
c





WASHINGTON  
UNIVERSITY  
IN ST. LOUIS

*Rosalie Wax*

*Transcript  
from 9.30*

Office of Alumni and  
Development Programs

June 25, 1980

TO: The File of the Rockefeller Foundation  
FROM: Jerry Ann Barker  
SUBJECT: Foundation Visit on June 10, 1980

I met with Dr. Anne Garonsik, Humanities Program Officer, to discuss the possibility of Dr. Rosalie Wax submitting a proposal to the Rockefeller Foundation for a follow-up study on the effects of segregation on Japanese Americans during World War II.

This study would provide a longitudinal profile of the long-term impact of displacement and the restriction of human rights on individuals and groups. The implications such a study would have for contemporary problems could be significant. The applied aspects of this humanistic study appealed to Dr. Garonsik and she encouraged us to submit the proposal through the Humanities Fellowship Program. She suggested that Dr. Wax submit a two or three page preliminary proposal in late summer or early August. If the project does not fall within the limits of the Humanities Fellowship Program and if the foundation is still interested in the project after reading the details, then Dr. Wax will be asked to submit the proposal as a special humanities grant request.

Dr. Garonsik said she does not expect any changes in the Humanities Program in the coming year because Dr. Lyman will not take office as President until August and it will take him awhile to decide what he wants to do. She did say that the Board is committed to the applied approach to humanities and that it is not likely that Dr. Lyman will be able or will even want to change that approach.

#### FOLLOW-UP ACCTIVITY

I will work with Rosalie Wax to prepare a preliminary proposal for submission to the foundation by September 15.



December 11, 1980

Dr. Joel Colton  
Director, Humanities  
The Rockefeller Foundation  
1133 Avenue of the Americas  
New York, NY 10036

Dear Dr. Colton:

Enclosed are seven copies of my complete proposal Presentation and Analysis  
of Longitudinal Oral Histories of Japanese Americans Segregated at Tule Lake:  
1943-5 and 1981 as you requested in your letter of November 26, 1980.

Sincerely yours,

Rosalie H. Wax  
Professor of Anthropology, Emerita



Rosalie Hankey Wax  
Professor of Anthropology, Emerita  
7106 Westmoreland Drive  
University City, Missouri 63130

Academic affiliation: Washington University at St. Louis

Telephone: 314 721-6848

Social Security Number: 569-01-2935

Title of Project:

PRESENTATION AND ANALYSIS OF LONGITUDINAL ORAL HISTORIES OF  
JAPANESE AMERICANS SEGREGATED AT TULE LAKE: 1943-5 and 1981.

General area of research: Cultural Anthropology

Total amount sought: \$20,000.00

Duration and starting date of project: 09/01/81 - 08/31/82

Other sources of support: I plan to request supplementary assistance  
for travel and field expenses from:

American Philosophical Society  
Wenner-Gren Foundation for Anthropological  
Research  
Pan Asian Mental Health Center

Description of Project:

From February 1944 to May 1945 I was employed as a field researcher at the Tule Lake Segregation Center. During this period I paid regular visits to some 25 Japanese Americans, recording verbatim their freely given opinions, fears, grievances, and advice. Their statements comprise over a thousand pages of single-space notes. In 1981 I plan to interview ten to fifteen of my surviving respondents or their relatives.

With these materials I will prepare ten to fifteen longitudinal oral histories dictated by individuals undergoing an extended period of extreme restriction and confinement. These histories will conclude with their present views and their advice on how their experiences might be used to mitigate the denial of human rights in parallel contemporary situations. Finally, I will prepare an analyses of these materials, emphasizing their relevance to contemporary situations involving displacement, confinement, impoverishment, and the progressive abrogation of human rights.



BUDGET

Salaries

Wax, Rosalie Hankey, Ph.D., Principal Investigator		
09/01/81 - 05/31/82 50%		\$15,000
Clerical typing assistance - 600 pages @ \$4/page		2,400
Consumable supplies - tapes (casette)	60	
photocopy \$30 x 12	360	
misc. office supplies		
\$20 x 12	240	660
Travel - 1 trip P.I. to San Francisco and Los Angeles		
airfare	600	
local travel	44	
subsistence - 12 days @ \$60	720	1,364
Other Expenses		
toll telephone \$40 x 12	480	
postage \$ 8 x 12	96	
		<u>576</u>
TOTAL COSTS		\$20,000



Budget <sup>6</sup>  
9/1/81 - 8/31/82 <sup>16</sup>

I. Direct Costs

A. Salaries

Academic

Hay, Rosalie, Ph.D., Prin. Inv.

9/1/81 - 5/31/82, 100%

\$33,000

2 summer months, 100%

7,334

Non-academic

Unassigned secretary, 50%

4,800

Graduate Research Assistants

1 unassigned, acc. year, 50%

3,600

Total Salaries

48,734

B. Social Security

3,016

51,750

C. Consultants

Dr. Hm. T. Linn and Dr. Tom <sup>to</sup> Sasaki,

2 days each at \$200/day

800

D. Consumable Supplies

Tape recorder (200), tapes (100), photocopying

(1,000), miscellaneous office supplies (1,000)

2,300

E. Travel - 1 Trip P.I. to San Francisco +

Los Angeles, 1 week each city, air fare (600),

subsistence 14 days @ \$60/day (840), intra-

city travel (200); one trip Philadelphia, 1 week,

air fare (350), subsistence at 60/day (420),

intra-city travel (100); 1 trip Chicago, 1

week, air fare (150), subsistence @ \$60/day

(420), intra-city travel (100)

3,180



F. Other Expense

Postage (200), long-distance telephone (600)

equipment maintenance (50)

850

Total Direct Costs 58,880

II Indirect Costs

55% of MTOC

32,384 \*

\* Washington University contribution



Ibera

~~1/7/81~~

Dr. Wax:

I thought you might like to know that the balance in your research account 12 1033 51 08 is \$242.25.

220  
110

Marcella



WASHINGTON UNIVERSITY



ST. LOUIS, MISSOURI 63130

DEPARTMENT OF CHINESE & JAPANESE  
TELEPHONE (314) 889-5156

January 26, 1981

Mr. Joel Colton  
Director, Humanities Program  
The Rockefeller Foundation  
1133 Avenue of the Americas  
New York, NY 10036

Dear Mr. Colton:

I learned from Professor Rosalie Wax that you would enjoy having from me a letter concerning her proposal to the Rockefeller Foundation concerning oral histories of Japanese-Americans interned at Tule Lake.

I have discussed this project on various occasions with Professor Wax, and I am highly enthusiastic about the possible results. From my observations of her data and from the kinds of personal connections that she has with those involved, I believe that she is uniquely qualified to carry out this extremely important project. Not only is the research of crucial academic importance, but the time factors involved are significant. Most of the people involved in the internment are now quite elderly, and some immediate attention to research on their experiences is required before these persons are no longer able to participate.

Although I myself am concerned with Japanese literature and cultural history and so am not intimately involved in Professor Wax's areas of research, I do know that for our field in general this kind of historical and anthropological data is crucial to our understanding of modern Japanese culture. I can think of no one more suited to doing this research, and I hope very much that it will be possible for Professor Wax to be awarded this research opportunity. She has my highest and most enthusiastic recommendation, and if I can supply you with any further information, please do not hesitate to ask.

Sincerely,

J. Thomas Rimer  
Chairman

JTR:dsj

bc: Professor Rosalie Wax ✓



Joel Colton  
Director, Humanities  
The Rockefeller Foundation  
1133 Avenue of the Americas  
New York, NY , 10036

Dear Dr. Colton:

I am honored and delighted to learn that my proposal has been recommended for an award under the Rockefeller Foundation Humanities Fellowships program. I accept with pleasure and enthusiasm. The working title, "A Comparative Analysis Through

Oral Histories of Japanese Americans Segregated at Tule Lake: ~~1943-5~~ 1943-5 and 1981" would be ~~most~~ satisfactory.

By April 1st, I will submit a revised budget for the proposal which will not exceed \$18,500. At that time I will also let you know how I would prefer to have the funds disbursed, whether to me directly or via Washington University.

Since I am eager to begin with the project in the near future, ~~however~~ I should like to ask whether I must wait for the award until Fall, or whether I could secure some funds at an earlier date.

Sincerely yours,

Rosalie H. Wax  
Professor of Anthropology, Emerita



Address to Joel Colton, Director  
Humanities program -

*address →*

The Rockefeller Foundation

1133 AVENUE OF THE AMERICAS, NEW YORK, N.Y. 10036

*25 0312*

JOEL COLTON  
DIRECTOR, HUMANITIES

CABLE: ROCKFOUND, NEW YORK  
TELEPHONE: (212) 869-8500

November 26, 1980

Dear Dr. Wax:

The selection committee for the Rockefeller Foundation Humanities Fellowships has now had an opportunity to consider the first-stage proposals submitted for consideration.

We are pleased to inform you that your project has been selected as one to be developed into a second-stage application. We should like to invite you to submit your fully developed proposal in accordance with the instructions for second-stage applications which we are enclosing. Please note that the application should not exceed ten typed pages.

Each proposal should contain sufficient information on the scope, purpose, and methodology of the study to ensure its proper evaluation. We are enclosing forms for you to send, along with a copy of your second-stage proposal, to the three references listed in your first-stage proposal. The references should mail their confidential reports directly to The Rockefeller Foundation Humanities Fellowships Program by January 5, 1981.

The deadline for receipt of second-stage proposals is January 5, 1981. All applications will be reviewed by an advisory panel of distinguished humanists, and final announcement of awards will be made in March 1981.

Sincerely yours,

*Joel Colton*  
Joel Colton

Dr. Rosalie Wax  
7106 Westmoreland  
University City, Missouri 63130

*also stamped - addressed envelopes  
to referees addressed to Colton*

Enclosures



# The Rockefeller Foundation

1133 AVENUE OF THE AMERICAS. NEW YORK, N.Y. 10036

JOEL COLTON  
DIRECTOR, HUMANITIES

CABLE: ROCKFOUND, NEW YORK  
TELEPHONE: (212) 869 - 8500

March 5, 1981

Dear Dr. Wax:

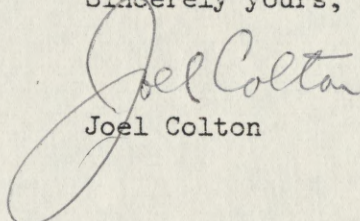
We are pleased to inform you that your proposal has been recommended for an award under the Rockefeller Foundation Humanities Fellowships program.

The award may be made through your institution, or to you directly. If you prefer to have the grant administered through your institution, please have a letter of request sent to us from the appropriate administrative officer authorized to request grant funds. The letter should indicate that the institution will administer the funds without overhead charge. If the award is to be made to you directly, we should appreciate your requesting this with a brief note. We shall formally process your grant and send an official letter of notification after we hear from your institution, or from you stating that the grant is to be self-administered.

We are pleased also to send our congratulations and best wishes for success in your research and writing. As you might guess, the competition was very keen. As one of those selected because your project shows high promise of helping to illuminate the values of contemporary society, you are indeed to be congratulated. We hope that you will remain in touch with us during your fellowship.

We shall appreciate a brief note of acceptance.

Sincerely yours,

  
Joel Colton

Dr. Rosalie Wax  
7106 Westmoreland Drive  
University City, Missouri 63130

JC:ego

P.S. Your proposal was strongly recommended by the advisory panel. However, we find it necessary to request a revised budget not to exceed \$18,500. May we use the following as the working title for your project? "A Comparative Analysis Through Oral Histories of Japanese Americans Segregated at Tule Lake: 1943-5 and 1981.



March 16, 1981

Joel Colton  
Director, Humanities  
The Rockefeller Foundation  
1133 Avenue of the Americas  
New York, NY 10036

Dear Dr. Colton:

I am honored and delighted to learn that my proposal has been recommended for an award under the Rockefeller Foundation Humanities Fellowships program. I accept with pleasure and enthusiasm. The working title, "A Comparative Analysis Through Oral Histories of Japanese Americans Segregated at Tule Lake: 1943-5 and 1981" would be satisfactory.

By April 1st, I will submit a revised budget for the proposal which will not exceed \$18,500. At that time I will also let you know how I would prefer to have the funds disbursed, whether to me directly or via Washington University.

Since I am eager to begin with the project in the near future, I should like to ask whether I must wait for the award until Fall, or whether I could secure some funds at an earlier date.

Sincerely yours,

Rosalie H. Wax  
Professor of Anthropology, Emerita

RHW:sc



March 26, 1981

Joel Colton  
Director, Humanities  
The Rockefeller Foundation  
1133 Avenue of the Americas  
New York, NY 10036

Dear Dr. Colton:

In regard to my project: "A Comparative Analysis Through Oral Histories of Japanese Americans Segregated at Tule Lake: 1943-5 and 1981."

I have made inquiries and have been told that the grant should be made directly to me.

I have decided to stay with my original duration and starting date of the project: 09/01/81 - 08/31/82.

I enclose a revised budget, not exceeding \$18,500.

Sincerely yours,

Rosalie H. Wax  
Professor of Anthropology, Emerita

RHW:sc



# The Rockefeller Foundation

1133 AVENUE OF THE AMERICAS, NEW YORK, N. Y. 10036

LAURENCE D. STIFEL  
VICE-PRESIDENT AND SECRETARY  
ESTHER S. STAMM  
ASSISTANT SECRETARY

CABLE: ROCKFOUND, NEW YORK  
TELEPHONE: (212) 869 - 8500

May 8, 1981

In reply please quote: RF 81020, Allocation Number 246

Dear Dr. Wax:

I am glad to be able to inform you that you have been awarded a Rockefeller Foundation Humanities Fellowship in the amount of \$18,500, or as much thereof as may be necessary, to undertake a study entitled "A Comparative Analysis Through Oral Histories of Japanese Americans Segregated at Tule Lake: 1943-5 and 1981." This sum is for use during the one-year period beginning September 1, 1981, at the end of which time any unexpended balance will revert to the Foundation.

In recommending this grant, it was the officers' understanding that the funds would be used approximately in accordance with the budget submitted on March 26, 1981 to the Foundation. In accordance with Internal Revenue Service regulations governing this Foundation, we must require you to furnish us, upon completion of the undertaking for which the grant was made, a final report describing your accomplishments with respect to the grant and accounting for the funds received under it.

Please confirm your agreement to the foregoing requirements by signing and returning the enclosed copy of this letter. Quarterly payments of this grant will then be made by the Comptroller's Office.

A brief public announcement of this grant will be made in the next annual report of the Foundation. In this connection, we are enclosing as a matter of routine a printed statement of the Rockefeller Foundation policy regarding the announcement of grants.

It is a pleasure to report this action to you.

Sincerely yours,

*Laurence D. Stifel*

Dr. Rosalie H. Wax  
Campus Box 1114  
Washington University  
St. Louis, Missouri 63130

Enclosure

Accepted: \_\_\_\_\_

Rosalie H. Wax



# The Rockefeller Foundation

1133 AVENUE OF THE AMERICAS, NEW YORK, N.Y. 10036

CABLE: ROCKFOUND, NEW YORK

TELEPHONE: (212) 869 - 8500

May 14, 1981

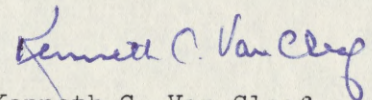
RE: RF 81020, Alloc. #246

Dear Dr. Wax:

Recently this Foundation awarded a grant RF 81020, #246 to enable you to undertake a study entitled "A Comparative Analysis Through Oral Histories of Japanese Americans Segregated at Tule Lake." \$18,500.00

For purposes of the income tax reporting requirements imposed by the Internal Revenue Code, this Foundation will report the entire amount of the payments made on the grant in each year as taxable income on Form 1099. However, because the Foundation, as grantor, is an organization described in section 501(c)(3) of the Internal Revenue Code, it is possible that all or a portion of the grant may be excludable from your income as a fellowship grant under section 117 of such Code. For your guidance, we are attaching IRS publication 520 - Tax Information for American Scholars in the U.S. and Abroad. If this publication does not provide the answer concerning the tax treatment of these payments on your tax return, you should consult your personal tax advisor.

Sincerely yours,



Kenneth C. Van Cleaf  
Senior Tax Accountant

Dr. Rosalie H. Wax  
Campus Box 1114  
Washington University  
St. Louis, MO 63130

AMS:at

Enc. (1)



# The Rockefeller Foundation

1133 AVENUE OF THE AMERICAS, NEW YORK, N. Y. 10036

JOEL COLTON  
DIRECTOR, HUMANITIES

CABLE: ROCKFOUND, NEW YORK  
TELEPHONE: (212) 869 - 8500

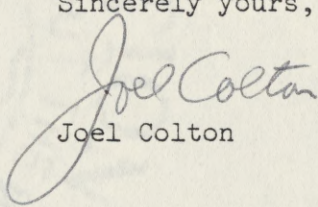
June 3, 1981

TO: Rockefeller Foundation Humanities Fellows for 1981-82

We are pleased to send you a copy of the 1982-83 Rockefeller Foundation Humanities Fellowships brochure which lists this year's Humanities Fellowship awards.

With all best wishes for your fellowship year,

Sincerely yours,

  
Joel Colton

Enclosure



June 30, 1981

Dr. Rosalie Wax  
7106 Westmoreland  
St. Louis, MO. 63130

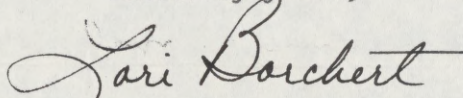
Dear Dr. Wax:

In speaking with my friend, Mary Grove, she told me that you are looking for part-time typists to work on an upcoming grant you were awarded.

I would be most interested in working on this project. I am currently working at Saint Louis University in the Dean's Office of the School of Social Work but would like to do some private work after hours to supplement our income. My husband was terminated from his job as Director of Computer Operations in May and it will be some time before we get caught up, even though he has obtained another job which will start in mid-July.

I can be reached at my home address: 10720 Booth Avenue, Overland, MO. 63114 and my phone number is 427-4806; or I can be reached at my business phone: 658-2712. I shall be on vacation until July 13th but can be reached after that time. Perhaps we can meet to discuss the project and fees involved.

Sincerely yours,

  
Lori Borchert

lnb



Dr. Murray L. Wax  
7106 Westmoreland Dr.  
University City, MO 63130

August 24, 1981

Mr. Robert M. Franklin, CPA  
8000 Bonhomme  
Clayton, MO 63105

Dear Mr. Franklin:

Some questions.

Rosalie H. Wax was born November 4, 1921, and is currently sixty-nine. After she was retired from Washington University, she began to draw social security. This Spring she was informed that she had been awarded a Rockefeller Foundation Fellowship in the Humanities. For the period 09/01/81 - 08/31/82, she will receive a sum up to \$18,500, to be paid quarterly. In the budget that she prepared for the Foundation, she indicated that \$4,500 would be expended on secretarial help, supplies, and research-related travel, etc., while she would draw \$14,000 as personal stipend. The funds will be paid to her personally from the Foundation and will not go through an intermediary institution, such as Washington University, where she is Professor Emerita. I enclose copies of relevant documents. I note that the Foundation sent a copy of IRS Publication 520 (rev. Nove 80).

1. Should this stipend be reported to social security as income, disqualifying her from their payments? She did speak personally with their personnel in Clayton, but was unable to secure an answer because they were confused by the fellowship status of the award.

2. Should we change our quarterly report to IRS? As you know, I am now paying an additional sum quarterly as estimated tax.

We have decided to hire a part-time secretary to work jointly for Rosalie and myself. Beginning August 1st, we are starting on a trial basis: three months, half-time (20 hours/week), at \$5.50 per hour (averaging \$467.50 per month).

3. Could you provide information and reporting forms for IRS and for Social Security?

Yours truly,

Murray L. Wax

Enclosures



# The Rockefeller Foundation

1133 AVENUE OF THE AMERICAS, NEW YORK, N. Y. 10036

CABLE: ROCKFOUND, NEW YORK

TELEPHONE: (212) 869 - 8500

September 1, 1981

RE: RF 81020, Alloc. #246

Dear Dr. Wax:

Enclosed is our check in the amount of \$4,625.00,  
representing the first quarterly payment of your fellowship.

Subsequent payments will be made on or about:

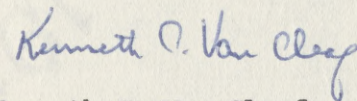
December 1, 1981

March 1, 1982

June 1, 1982

If you have any questions, please do not hesitate to  
contact us.

Sincerely yours,



Kenneth C. Van Cleef  
Senior Tax Accountant

Dr. Rosalie H. Wax  
Campus Box 1114  
Washington University  
St. Louis, Missouri 63130

Enc.

jn





WASHINGTON  
UNIVERSITY  
IN ST. LOUIS

Social Science Institute

TO: Rosalie H. Wax, Ph.D.  
cc. Donald Strickland; Grace Schuettner

FROM: Marvin J. Cummins, Director

RE: Use of SSI's Word Processor

DATE: September 10, 1981

In response to your query of September 4th I have checked our usage on the word processor. There is no possibility that I can offer you 16 - 18 hours per week on the machine; that would constitute 40% of the total time available on the machine, which is not practical in view of Professors Pittman and Strickland's grant and Professor Reed's grant and the administration needs of SSI itself.

What I can offer you is six hours per week - scheduled between 12:30 and 2:30 p.m. on Monday, Wednesday and Friday effective October 19th.

Our estimates, crude as they are, are that you would incur the following supplies at your own expense:

- 8 to 10 disks @ \$7 each
- paper in quality and quantity of your choice @ \$3 - \$5 per ream  
(much of the printing of draft copies can be done on "scratch")
- 4 to 5 dozen ribbons @ \$26 per dozen

I would impose no service charge, but rather would ask that you purchase two print wheels as a share of the general maintenance costs. Print wheels cost approximately 25-30 each.

Though less than ideal, I hope that this offer will prove helpful to your project efforts.



Joel Colton  
Director, Humanities  
The Rockefeller Foundation  
1133 Avenue of the Americas  
New York, ~~NY~~ NY 10036

Dear Dr. Colton:

In regard to my project: "A Comparative Analysis Through Oral Histories of Japanese Americans Segregated at Tule Lake: ~~XXXXXX~~ 1943-5 and 1981."

I have made inquiries and ~~been~~ have been told that the grant should be made directly to me.

I have ~~also~~ decided to stay with ~~my original~~ my original ~~timeframe~~  
~~that is,~~ of the project:  
duration and starting date/ 09/01/81 - ~~XX~~ 08/31/ 82.

I enclose a revised budget, not exceeding ~~1~~ \$18,500.

Sincerely yours,

Rosalie H. Wax  
Professor of Anthropology, Emerita



STATEMENT

February 15, 1982

TO: Dr. Rosalie Wax  
7106 Westmoreland Drive  
University City, Missouri 63130

FROM: Vicki Ibera  
7222 Lindell Boulevard  
University City, Missouri 63130

1) Comments on Testimony of Dr. Peter T. Suzuki 9 pages (double spaced) @ \$1.50/page	\$ 13.50
2) Interview with Ichiro Tsuruda 7 pages (single spaced) @ \$2.00/page	14.00
3) Interview with _____ 10 pages (single spaced) @ \$2.00/page	20.00
4) Interview with Ann Hatano 4 pages (single spaced) @ \$2.00/page	8.00
5) Interview with Iaro Tokunaga 12 pages (single spaced) @ \$2.00/page	<u>24.00</u>

TOTAL \$ 79.50

plus extra  
work 10.00  
89.50

Prd  
Felt, 18, 1982



# The Rockefeller Foundation

1133 AVENUE OF THE AMERICAS, NEW YORK, N.Y. 10036

CABLE: ROCKFOUND NEW YORK

TELEPHONE: (212) 869-8500

August 31, 1982

RE: RF 81020, Alloc. #246

Dear Dr. Wax:

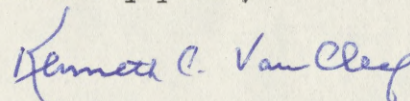
Thank you for your accounting statement for the above noted grant which was recently sent to us.

A review of this statement, as compared with the original budget for your fellowship (copy attached), indicates the following discrepancies:

1. Your accounting statement includes a category, "Secretarial Equipment" noting expenditures of \$2,116.44. The budget for this grant, however, included no such category.
2. The budget includes a "Travel" category, with an estimate of \$1,364.00 of travel costs. Your financial statement does not indicate any travel expenditures were incurred.

In order to close this account on our records, we would appreciate clarification of these discrepancies at your earliest convenience.

Sincerely yours,



Kenneth C. Van Cleef  
Senior Tax Accountant

Dr. Rosalie H. Wax  
Campus Box 1114  
Washington University  
St. Louis, Missouri 63130

Att.

jn



FINANCIAL SUMMARY

"A Comparative Analysis Through Oral Histories of Japanese Americans  
Segregated at Tule Lake: 1943-5 and 1981-2"

Rosalie Hankey Wax, Professor of  
Anthropology Emerita

Received: 09/01/81 - 08/31/82 ✓

\$18,500.00 ✓

Secretarial Services 4,251.89

✓ Secretarial Equipment 2,116.44

Toll Telephone 415.65

Postage 68.26

Consultants 77.00

Academic Salary - Rosalie H. Wax 11,570.76

Checks and receipts will be sent on request.



lgo  
JN



WASHINGTON  
UNIVERSITY  
IN ST. LOUIS

March 26, 1981

Joel Colton  
Director, Humanities  
The Rockefeller Foundation  
1133 Avenue of the Americas  
New York, NY 10036

Dear Dr. Colton:

In regard to my project: "A Comparative Analysis Through Oral Histories of Japanese Americans Segregated at Tule Lake: 1943-5 and 1981."

I have made inquiries and have been told that the grant should be made directly to me.

I have decided to stay with my original duration and starting date of the project: 09/01/81 - 08/31/82.

I enclose a revised budget, not exceeding \$18,500.

Sincerely yours,

*Rosalie H. Wax*

Rosalie H. Wax  
Professor of Anthropology, Emerita

RHW:sc



PROJECT TITLE: A COMPARATIVE ANALYSIS THROUGH ORAL HISTORIES OF JAPANESE  
AMERICANS SEGREGATED AT TULE LAKE: 1943-5 and 1981.

BUDGET

Salaries

Wax, Rosalie Hankey, Ph.D., Principal Investigator  
09/01/81 - 5/31/82 47% \$14,000.

Clerical typing assistance - 600 pages @ \$3.33 page 2,000.

Consumable supplies - tapes (cassette)	60	
photocopy \$30 x 10	300	
misc. office supplies		
\$20 x 10	200	560.

Travel - 1 trip P.I. to San Francisco and Los Angeles		
airfare	600	
local travel	44	
subsistence - 12 days @ 60	720	1,364.

Other expenses	toll telephone \$40 x 12	480	
	postage \$ 8 x 12	96	576.

TOTAL COSTS \$18,500.



Department of Anthropology



WASHINGTON  
UNIVERSITY  
IN ST. LOUIS

September 13, 1982

Kenneth C. Van Cleaf  
Senior Tax Accountant  
The Rockefeller Foundation  
1133 Avenue of the Americas  
New York, NY 10036

Dear Sir:

Here are the clarifications you requested in your letter of August 31.

When I applied for this grant I anticipated that almost all of my potential respondents were now living in California. I therefore asked for travel costs of \$1,364.00 which, I expected, would cover a twelve day stay in that state. But when I began my research, I learned that many of my respondents lived in Midwestern and Eastern states. Since travel costs to all of these areas would be enormous I decided to conduct that interviews by telephone. I purchased a SONY transcriber for \$520.60 and was able to obtain the life histories of 27 persons who had experienced segregation at the Tule Lake Center. (I had initially expected to obtain only 10 to 15 interviews.) This left me with a surplus of \$843.40 for unused travel costs.

I shall now explain how I came to put the sum \$2,114.44 under the category "Secretarial Equipment".

From June of 1943 until July of 1945 I was employed as a staff researcher for the Japanese American Evacuation and Resettlement Study. During this period I prepared over a thousand pages of texts, most of which consist of verbatim statements by the confined Japanese Americans. For many years I took it for granted that these notes had been deposited in the Bancroft Library of the University of California with the other materials collected and written by staff researchers. About three years ago I learned that my notes had not been given to the library. Since then, many colleagues have urged me to have my fragile and time-dimmed carbon copies retyped so that they would be available to historians and other scholars. These notes provide a detailed background and a logical complement to the project that was approved by The Rockefeller Foundation. The statements made in 1981-2 concerning displacement confinement, and the abrogation of human rights are supplemented and complemented by that statements made in 1943-5.



I therefore decided to have the fieldnotes typed on disc. But I found that the use of a word processor is expensive and I ended by paying the \$843.40 surplus from the unused travel costs and an additional \$2,429.24 from my (\$14,000.00) academic salary to get the job finished.

Sincerely yours,

*Rosalie H. Wax .*

Rosalie H. Wax

Professor Emerita Anthropology/Sociology



# The Rockefeller Foundation

1133 AVENUE OF THE AMERICAS, NEW YORK, N.Y. 10036

CABLE: ROCKFOUND NEW YORK

TELEPHONE: (212) 869-8500

September 17, 1982

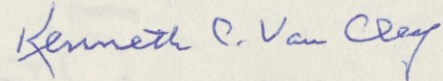
RE: RF 81020, Alloc. #246

Dear Dr. Wax:

Thank you for your letter dated September 13, in which you provided clarification of discrepancies included in your August 1982 accounting statement.

Since this grant has terminated, and all funds have been accounted for, we shall consider the account closed.

Sincerely yours,



Kenneth C. Van Cleaf  
Senior Tax Accountant

Dr. Rosalie H. Wax  
Campus Box 1114  
Washington University  
Department of Anthropology  
St. Louis, Missouri 63130

jn



March 15, 1983

Joel Colton  
Director, Humanities  
The Rockefeller Foundation  
1133 Avenue of the Americas  
New York, NY 10036

Dear Dr. Colton:

As a part of my 1981-82 fellowship, I edited my extensive field notes on the Tule Lake Segregation Center and gave them to the Bancroft Library at the University of California. I would like to share with you the letter confirming the receipt of these materials.

My monograph on the oral histories of the Americans of the Japanese descent who were confined at Tule Lake is now being considered by The University of Tennessee Press.

With best wishes,

Sincerely,

Rosalie H. Wax  
Professor Emerita

RHW/sc



# The Rockefeller Foundation

1133 AVENUE OF THE AMERICAS, NEW YORK, N.Y. 10036

CABLE: ROCKFOUND NEW YORK

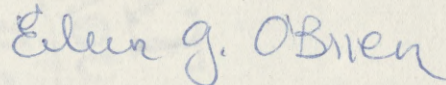
TELEPHONE: (212) 869-8500

April 5, 1983

Dear Professor Wax:

Thank you for your letter of March 15, 1983, to Dr. Joel Colton. We are pleased to know that your monograph on the oral histories of the Americans of the Japanese descent who were confined at Tule Lake is being considered for publication by The University of Tennessee Press. We hope that the Press's decision will be positive.

Sincerely yours,



Eileen G. O'Brien  
Fellowships Coordinator  
Arts and Humanities Division

Professor Rosalie H. Wax  
Campus Box 1114  
Washington University  
St. Louis, Missouri 63130



Rockefeller Foundation Humanities Fellowships  
The Rockefeller Foundation  
1133 Avenue of the Americas  
New York, New York 10036

This card will acknowledge and thank you for submitting a first-stage application under the Humanities Fellowships program. All first-stage proposals will be reviewed by the end of November.

Humanities Division



19

Dr. Rosalie Wax  
7106 Westmoreland  
University City, Mo 63130





October 19, 1982

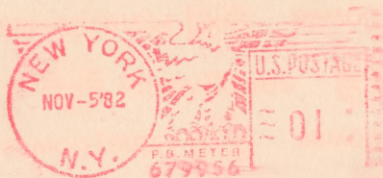
Dear Professor Wax:

This card will acknowledge and thank you for sending us a narrative report on work accomplished during the period of your Humanities fellowship. The material will be helpful to us in preparing a final evaluation of the grant.

Sincerely yours,

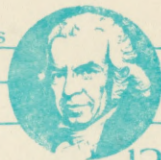
*Anne Jarmon*  
Humanities division  
The Rockefeller Foundation





Isaiah Thomas

Patriot



U.S. Postage 12¢

Dr. Rosalie H. Wax  
Campus Box 1114  
Washington Unviersity  
St. Louis, Missouri 63130



Rosalie Hankey Wax  
Professor of Anthropology, Emerita  
7106 Westmoreland Drive  
University City, Missouri 63130

Academic affiliation: Washington University at St. Louis

Telephone: 314 721-6848

Social Security Number: 569-01-2935

Title of Project:

PRESENTATION AND ANALYSIS OF LONGITUDINAL ORAL HISTORIES OF  
JAPANESE AMERICANS SEGREGATED AT TULE LAKE: 1943-5 and 1981.

General area of research: Cultural Anthropology

Total amount sought: \$20,000.00

Duration and starting date of project: 09/01/81 - 08/31/82

Other sources of support: I plan to request supplementary assistance  
for travel and field expenses from:

American Philosophical Society  
Wenner-Gren Foundation for Anthropological  
Research  
Pan Asian Mental Health Center

Description of Project:

From February 1944 to May 1945 I was employed as a field researcher at the Tule Lake Segregation Center. During this period I paid regular visits to some 25 Japanese Americans, recording verbatim their freely given opinions, fears, grievances, and advice. Their statements comprise over a thousand pages of single-space notes. In 1981 I plan to interview ten to fifteen of my surviving respondents or their relatives.

With these materials I will prepare ten to fifteen longitudinal oral histories dictated by individuals undergoing an extended period of extreme restriction and confinement. These histories will conclude with their present views and their advice on how their experiences might be used to mitigate the denial of human rights in parallel contemporary situations. Finally, I will prepare an analyses of these materials, emphasizing their relevance to contemporary situations involving displacement, confinement, impoverishment, and the progressive abrogation of human rights.



Rosalie Hankey Wax  
Professor of Anthropology, Emerita  
7106 Westmoreland Drive  
University City, Missouri 63130

← Academic affiliation: Washington University ~~at St.~~ at St. Louis

Telephone: <sup>3</sup> 614-721-6848

Social Security Number: 569-01-2935

Title of Project:

PRESENTATION AND ANALYSIS OF LONGITUDINAL ORAL HISTORIES OF JAPANESE  
AMERICANS SEGREGATED AT ~~XXXXX~~ TULE LAKE: 1943-5 and 1981.

General Area of Research: Cultural Anthropology

Total Amount Sought: \$20,000.00

Duration and Starting Date of Project: 9/1/81 - 8/31/82

~~NO OTHER SOURCES OF SUPPORT EXISTING EXCEPT THE FOLLOWING~~  
~~XXXXXXXXXXXXXXXXXXXX~~

Other ~~XXXX~~ sources of support: I plan to ~~xxx~~ request supplementary assistance  
for travel and field ~~expenses~~ from:

American Philosophical Society

Wenner-Gren Foundation for Anthropological Research

✓ Pan Asian Mental Health Center

#### Description of Project:

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to some 25 Hapanese Americans, recording <sup>verbation</sup> their freely given opinions, fears,  
grievances, and advice ~~verbatim~~. Their statements comprise over ~~xx~~ a thousand  
pages of ~~single~~ single-space notes. In 1981 I plan to interview ~~xxxx~~ ten  
to fifteen of my surviving respondents or their relatives.

With these materials I ~~o~~ will prepare ten to fifteen longitudinal  
oral histories dictated by individuals undergoing ~~xxxxxixix~~ ~~xxxxxx~~  
an extended period of extreme restriction/~~xxxxx~~ and confinement.  
These histories will conclude with their ~~xxxxxx~~ present views and their  
advice on how their experiences might be used to mitigate the denial of  
human rights in parallel contemporary situations. Finally, I will prepare  
an analyses of these materials, emphasizing their relevance to contemporary  
situations involving displacement, confinement, impoverishment, and the  
progressive abrogation of human rights.



# Budget

## EZ Salaries

Wax, Rosalie Hankey, Ph. D., Principal Investigator  
9/1/81 - 5/31/82 50% \$15.000

Clerical Typing assistance - 600 pages @ \$4/page 2.400

Consumable supplies - Tapes (casette) \$60.  
Photocopy \$30 x 12 360.  
misc. office supplies  
\$20 x 12 240. 660

Travel - 1 trip ~~XXXX~~ P. I. to San Francisco and  
Los Angeles  
airfair 600.  
local travel 44.  
subsistence - 12 days @ \$60. 720. 1,364

Other Expenses toll telephone \$40x12 480.  
postage \$8 x12 96, 576

TOTAL COSTS \$20.000



Follow up study - emphasizing broader human implications --  
Use former study as a part of this --

Mention Rockefeller foundation - initially funded -- this would be a followup including fieldnotes --

implications for modern predicaments - confinement - restrictions -  
(women OK) - ~~xxxxxx~~ (I'd add - means of coping --  
what ~~xxxx~~ was it people ~~xx~~ emphasized they needed ---

I have information ~~unmentioned~~  
of a special and ~~xxxxxx~~ particular kidd -  
not covered in previous studies -- in fieldnotes --

What would be final form --?

Methodology -- people still in touch - Mr. Liu told me addresses available -  
what I know happened to people to Japan etc.

Applicability to contemporary problems -

restrictions of human rights - (language to use)  
dislocation of peoples -- unlawful confinement --

What are the lessons to be learned?

Have ~~xxxx~~ only person visit people regularly  
chronol. oral histories from how many people -

\*\*\*\*\*

Remember to look at objections made by humanities critics --

Kuratomi - US  
Kato -- Japan  
Tsuda - U. S.  
Akitshuki - U.S.  
Yamashita - Japan  
Kira - Japan -  
~~Yuki Matsuda - woman - Japan -~~  
Nishino - Japan  
Takeuchi - U. S.  
Oda - Japan and returned -  
Tokunaga - Japan -  
Tamashiro - Japan

Mrs. Wamashiro Japan  
May Iwofara - U.S.  
Mrs. Matsuda - Japan  
Mrs. Takeuchi  
Mrs. Oda - Japan and return  
Takeuchis sisters - relocated.  
Kayo Iida - went to J and returned  
Mrs. Nakano - relocated -  
remakrable org. of clubs, Org.  
Lillian Manji - Nisei - relocated -  
Helen Sasajima, Nisei relocated  
Asako Higaki, Akitsuk's sister in law  
a Kibei -  
Melba Kaminaka - Nisei - stayed -  
Eiko Yokota - Nisei - stayed.

Many talks with Robertson ?

Kurihara - to Japan and ret.  
Niiyama - ~~went to Japan~~

Mike I II  
K III  
N III  
Zehne I  
K III  
N III  
Japan - Stay - Stay -  
II III III III III  
high school debate -



*Stamps*

Rosalie H. Wax  
Tule Lake Project

Reviewers

3.69

✓ Dr. Arthur Hansen  
Professor of History  
California State University, Fullerton  
Fullerton, CA 92634

Since 1972 Dr. Hansen has been Director of the Japanese American Oral History Project located at California State University, Fullerton. Stemming from his focus on the evacuation is a book, Voices Long Silent: An Oral History of the Japanese American Evacuation, and other publications.

✓ Dr. Tom T. Sasaki  
Professor of Anthropology  
University of Notre Dame  
Notre Dame, IN 46556

An anthropologist who has focussed on mental health issues among a variety of cultures, Dr. Sasaki is currently Acting Director of the Pacific/Asian Mental Health Research Center.

Dr. Thomas J. Rimer III  
Professor of Japanese Language and Literature  
Dept. of Chinese and Japanese  
Washington University  
St. Louis, MO 63130

Among his competencies are knowledge of the modern history and culture of Japan and fluency in the Japanese language. He is a member of the Social Science Research Council and sits on the committee that awards grants for East-Asian research.



FINANCIAL SUMMARY

"A Comparative Analysis Through Oral Histories of Japanese Americans  
Segregated at Tule Lake: 1943-5 and 1981-2"

Rosalie Hankey Wax, Professor ~~Emerita~~ of  
Anthropology Emerita

~~Received: 09/01/81~~

Received: 09/01/81 - 08/31/82

\$18,500.00

Secretarial Services

4,251.89

4,181.78

2,70.11

4,251.89

Secretarial Equipment

2,116.44

Toll Telephone

415.65

Postage

68.26

Consultants

77.00

6,929.24

Academic salary - Rosalie H. Wax

11,570.76

4,251.89

2,116.44

415.65

68.26

77

6,929.24

Checks and receipts will be sent on ~~xxxxxxx~~ request.



## Tule Lake Fieldnotes

having them. They are not militaristic exercises. I think the wardens are just afraid of public gatherings. There were one or two teachers arrested while they were having the exercises in school. I think that's why they have been stopped here. The block people didn't want any more victims.

The Issei are really hard minded. They're stubborn. It's hard for the old folks and the young folks to get along.

Continuing Importance of Stockade Issue

Unless they release the men from the stockade I don't think there is any hope to organize any Central Committee. Everyone feels that way about the committee. They feel the time has not come. They would really like to have one good committee because in a time like this there is no spokesman.

Giri

That is simply obligations. Suppose those former Negotiating Committee in the stockade. Suppose right now we elect another representative from each block and organize a central committee. In that case we double cross them (the men in the stockade). We admit that they had criminal intention. That means giri. That's why, for the benefit of them we cannot do it right now, unless the WRA releases these men. That is the Japanese point of view right now.

I wish the WRA would release those men. When they tried to organize the committee (in May) everything happened that way, because of giri.

More on Exercises

Mrs. Oda: It was the little children who just loved the exercises. They had a lot of fun getting up in the morning. He (her husband) was too lazy to get up. For the children it was tanoshimi (a pleasure - something to look forward to).

Mr. Best's Speech at the Funeral

He was thinking of the future of the center, particularly of the safety and security. I said to myself, 'He's quite a diplomat.' He made a very intelligent speech. If he had made the wrong kind of speech, it would have meant more trouble. He chose his words very carefully.

OPLER'S CONFERENCE IN WASHINGTON

Met Mrs. Opler this afternoon. She told me that the Community Analysts' Conference in Washington has been cancelled, after Opler was on his way. They had tried to reach him by telegram, but due to irregularity of train schedules, had failed. Since he was by then on his way to Chicago, Best or Block wired Washington to keep him there for a month (the conference has been postponed two weeks). I think this is not so good. For while Opler does not get everything, the Administration needs him now as an ear, more than it has needed him for the past six months. This is, perhaps, additional evidence of Best's unenthusiastic opinion of Opler's work.

MEETING OF COMMUNITY MANAGEMENT SECTION

Since Mr. Eade of Washington was to speak at this meeting, a couple of teachers asked me to attend. What with the dull evenings around here, I consented, although later, when very



# ROCKEFELLER FOUNDATION HUMANITIES FELLOWSHIPS

## AWARDS—1981

Kofi Nyidevu Awoonor  
Professor of Literature, Chairman of the Department  
of English, and Dean of the Faculty of Arts  
University of Cape Coast  
*The moral perspective in the folktale and the modern  
novel in Africa*

Nona Balakian  
Editor  
New York Times Book Review  
*William Saroyan and the humanist vision*

Aaron Bar-Adon  
Professor of Linguistics and of Hebrew  
University of Texas, Austin  
*Language and society in the making: the cultural and  
socio-linguistic background for the revival of  
Hebrew*

Linda Susan Beard  
Assistant Professor of English  
University of Notre Dame  
*The human cost of apartheid: unbridged chasms in  
contemporary South African literature*

Karen Blair  
Assistant Professor, Women's Study Program  
University of Washington  
*The limits of sisterhood: its decline in the twenties*

Bob Blauner  
Professor of Sociology  
University of California, Berkeley  
*Racial consciousness, social change and personal  
lives in the 1960s and 1970s*

Whitney Bolton  
Professor of English  
Douglass College  
Rutgers University  
*Newspeak: the English language in 1984*

Wayne C. Booth  
George M. Pullman Distinguished Service Professor  
of English  
University of Chicago  
*Ethical and political criticism of narrative*

John W. Chambers II  
Assistant Professor of History Barnard College  
Columbia University  
*The transformation of the ex-presidency*

Harvey Cox  
Victor S. Thomas Professor of Divinity and Chairman  
of the Department of Applied Theology  
Harvard Divinity School  
*After the secular city*

Vincent Crapanzano  
Professor of Comparative Literature and Anthropology  
Queens College and the Graduate Center  
City University of New York  
*Ideology and identity among South African whites*

Robert Dallek  
Professor of History  
University of California, Los Angeles  
*The American style of foreign policy: mass culture  
and foreign affairs, 1896-1976*

Walter E. Dellinger  
Professor of Law  
Duke University  
*Constitutional change: the process of amendment*

Donald J. Dietrich  
Professor of History  
University of Wisconsin, Stevens Point  
*Catholics and the Third Reich: the social-  
psychological dynamics behind resistance and  
accommodation*

Joel Feinberg  
Professor and Head of the Department of Philosophy  
University of Arizona  
*The moral limits of the criminal law*

Stanley P. Hirshson  
Professor of History  
Queens College and the Graduate Center  
City University of New York  
*A history of black-Jewish relations from the 1890s to  
today*

Susan Jacoby  
Free-lance Writer  
*The relationship between justice and vengeance in  
public and private life*

George Juergens  
Professor of History  
Indiana University  
*News from the White House: the New Deal era*

Harold Kaplan  
Professor and Acting Chairman of the Department of  
English  
Northwestern University  
*Reduction and apocalypse in modern American  
Literature*

Bruce Kuklick  
Professor of History  
University of Pennsylvania  
*A reinterpretation of American intellectual history,  
Jonathan Edwards to John Dewey*

Leonard Levitt  
Journalist  
*Children of spring: portraits of African youth*

Alfred F. MacKay  
Associate Professor and Chairman of the Department  
of Philosophy  
Oberlin College  
*Interpersonal utility comparisons*

Martin Malia  
Professor of History  
University of California, Berkeley  
*The pattern and escalation of European revolutions:  
England 1640; France 1789; Prussia/Austria 1848;  
Russia 1905-1917*

Femi Ojo-Ade  
Senior Lecturer, Department of Modern Languages  
University of Ife  
*From darkness to the dawn of dilemma: black female  
fiction writers of Africa*

Alicia Ostriker  
Professor of English  
Rutgers College  
Rutgers University  
*The nerves of a midwife: women's poetry in America*

Stanley K. Schultz  
Professor of American History  
University of Wisconsin  
*Before the planners: social and intellectual roots of  
American city planning, 1840-1910*

Elaine Showalter  
Professor of English  
Rutgers College  
Rutgers University  
*The English malady: madness, literature and society,  
1830-1970*

Robert L. Simon  
Professor of Philosophy  
Hamilton College  
*Ethical principles and international affairs*

Kathryn Kish Sklar  
Associate Professor of History  
University of California, Los Angeles  
*Florence Kelley: American reformer, 1859-1932*

Barbara Herrnstein Smith  
Professor of English and Communications  
University of Pennsylvania  
*Variable constancies: a study of literacy and  
aesthetic values*

Ralph Thaxton  
Assistant Professor of Politics  
Brandeis University  
*The peasants of Anyang: rebellion and revolution in a  
North China prefecture, 1911-1949*

Khin Thitsa  
Assistant Editor  
CHANGE International Reports  
London, England  
*Female consciousness and self-images of women on  
the thresholds of Buddhist society in Thailand*

Rosalie Wax  
Professor of Anthropology and Education (Emerita)  
Washington University  
*A comparative analysis through oral histories of  
Japanese-Americans segregated at Tule Lake:  
1943-5 and 1991*

Richard White  
Associate Professor of History  
Michigan State University  
*The revolt against dependency: social and cultural  
change and the rise of native American nationalism*

## HUMANITIES FELLOWSHIPS IN HUMAN RIGHTS

Emmanuel Bello  
Max Planck Institute  
West Germany  
*Human rights: the rule of law in Africa*

Nodar Djindjhashvili  
Scholar-in-Residence  
Queens College  
*The fate of Jewish culture in the USSR, 1967-80*

Donald P. Kommers  
Director  
Center for Civil and Human Rights  
University of Notre Dame Law School  
*Liberty, equality, and fraternity in German and  
American constitutional law: the quest for a public  
philosophy*

James W. Nickel  
Visiting Associate Professor of Law and Philosophy  
University of California, Berkeley Law School  
Professor of Philosophy  
Wichita State University  
*Human rights: preconditions for the minimally good  
life*

Howard J. Wiarda  
Visiting Scholar  
Center for International Affairs  
Cambridge, Massachusetts  
Professor of Political Science  
University of Massachusetts  
*Cross-cultural conceptions of human rights: United  
States and Latin America*

*Chen*



1- 212-869-8500

Edison O'Brien

EX Fartia 392.

Krokipeller. -

##

Fartia ~ Fekenchima-  
p. a.



## THE ROCKEFELLER FOUNDATION

### *Policy with Respect to Announcement of Grants*

The Rockefeller Foundation records its grants in its annual reports.

The Foundation encourages grantees to make their own announcements of grants, and its Information Service will be glad to give advice or assistance in this connection.

Only if an announcement involves interpretation of the Foundation's purpose in making the grant do the officers of the Foundation wish to see the announcement before its release to the press.

In publishing the results of their research, grantees are at liberty to acknowledge Foundation support if they wish to do so. They are asked, however, to avoid any implication that the Foundation had any control over the project or any responsibility for its results.

The name of the Foundation should not be used in advertising, especially of books; nor, except with written permission, should it be used in designating projects toward which the Foundation contributed.



---

## Rockefeller Foundation Humanities Fellowships

---

**THE ROCKEFELLER FOUNDATION** announces a program of awards for 1982-1983 to support humanistic scholarship intended to illuminate and assess contemporary social and cultural issues.

---

### SCOPE AND ELIGIBILITY

The program welcomes applications from writers and scholars in the traditional humanistic disciplines (such as literature, history, and philosophy) whose projects contribute to the analysis and evaluation of contemporary issues or values. Similar proposals in fields not usually defined as humanistic (such as political science, law, and anthropology) are encouraged if their humanistic implications are clear and substantial. The program also encourages proposals that seek to provide a humanistic perspective on human rights issues.

Applicants need not have an academic or institutional affiliation. Awards cannot be made for completing graduate or professional studies, editing an anthology, developing curricula, or writing or translating poetry or fiction. An applicant may not hold another major fellowship concurrently or postpone an award under this program in order to accept another fellowship. However, an applicant may hold a concurrent sabbatical salary or small supplementary stipends from other sources. Scholars and writers from all countries are encouraged to apply.

Final selection will be made with the help of distinguished humanistic scholars. Awards will be made to younger as well as senior scholars. All applications will receive careful consideration, but the fellowships are highly competitive. About 35 awards are made each year.

---

### DURATION AND PAYMENT

The Fellowships will normally extend for one year. Awards will not be made for fellowship work occupying less time than half a year or for projects limited to one or more summers. It is expected that successful candidates will devote full time to their projects during the period of the fellowship. If the applicant is associated with a tax-exempt institution, the grant will ordinarily be made through that institution; successful applicants will be asked to provide a letter from the appropriate administrative officer of such an institution indicating its willingness to administer the grant without overhead charges. If the applicant is unaffiliated or prefers to have the grant awarded directly, the grant may be self-administered. The ordinary grant will be in the range of \$10,000 to \$15,000, and normally will not exceed \$20,000. Grants may cover the cost of salary, benefits, travel, secretarial or research support, or research materials.

### CLOSING DATES AND NOTIFICATION

First-stage proposals must be received by **October 1, 1981**; second-stage proposals, if requested, by January 1, 1982. Awards will be announced in March 1982.

---

### PROCEDURES FOR APPLICATION

Although there are no standard application forms, the procedures described below are to be followed:

#### *First stage:*

A candidate must submit **three** copies of the following: (1) a covering sheet listing name, address, project title, and institutional affiliation, if any; (2) a description of the project, typed and double-spaced, of approximately 500 to 1,000 words, including a brief statement of the researcher's qualifications with respect to the proposal and a synopsis of previous relevant work by the researcher or others; (3) a vita and bibliography of publications; and (4) a separate sheet listing the names and complete addresses of three persons familiar with the applicant's work who may be asked to serve as references. An applicant should not include more than one reference from the applicant's institution and should try to include at least one reference who has already made a significant contribution in the candidate's field or in a related field.

#### *Second stage:*

Candidates whose projects have been approved in the first stage will be asked to submit **seven** copies of a fully developed proposal and of their vita. Each proposal must contain in sufficient detail all information necessary to allow proper evaluation of the scope, purpose, and methodology of the study. Candidates will be asked to forward copies of their second-stage proposal to their three references along with a form requesting that confidential statements about the project and the applicant's qualifications be sent directly to the Foundation.

---

### ADDRESS INQUIRIES AND APPLICATION TO

#### **Rockefeller Foundation Humanities Fellowships**

The Rockefeller Foundation  
1133 Avenue of the Americas  
New York, New York 10036



## ROCKEFELLER FOUNDATION HUMANITIES FELLOWSHIPS

### AWARDS—1981

Kofi Nyidevu Awoonor  
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of English, and Dean of the Faculty of Arts  
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*The moral perspective in the folktale and the modern  
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Assistant Professor, Women's Study Program  
University of Washington  
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University of California, Berkeley  
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Whitney Bolton  
Professor of English  
Douglass College  
Rutgers University  
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George M. Pullman Distinguished Service Professor  
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John W. Chambers II  
Assistant Professor of History Barnard College  
Columbia University  
*The transformation of the ex-presidency*

Harvey Cox  
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